



Prognostics and Health Management in the Cloud: An Introduction

José Celaya and Indranil Roychoudhury

Machine Learning, Software Technology Innovation Center, Schlumberger

September 26, 2019

Outline

- Why you would like to learn about PHM in the Cloud
- Introduction to Google cloud and its benefits
- Walkthrough of GCP related tools
- Demonstration of a model-based PHM Example on the cloud
- Closing remarks



Motivation for PHM

- All engineered systems will eventually degrade or fail
- Maintenance is key to increase uptime and safety, arrange for spare parts, reduce loss of life and property, and minimize maintenance costs
- Types of Maintenance
 - Reactive maintenance
 - Scheduled maintenance
 - Predictive maintenance, a.k.a. prognostics and health management (PHM)

Motivation for this Tutorial

1. Share our experience using Cloud as a development environment
2. Invite PHM Society audience to see Cloud as a tool that is here to stay and key for all to know how to use to remain relevant
3. Expand your engineering and scientific ambitions by seeing what is possible using cloud today and what is coming in the future
4. Share an example of the many possibilities available to you to deploy a production ready system in a cloud environment
5. Give you an idea of what a modern data analytics development environment looks like and relate to:
 - a. PHM data driven development
 - b. PHM physics modeling development
 - c. Hybrid use of data, physics, operational and other subject matter expert knowledge

Some Things That We Will Not Cover

- Cloud cyber-security concerns the audience might have
 - Not the intention of this talk but we can discuss offline
 - Advising you on how to work with your company policies related data security for PHM
- Topics related to IIoT/Edge in the cloud from the pure IIoT side
 - Our demo works with IIoT aspects but we will not cover here
 - There is a world of new developments at industrial grade and lots of promise for PHM
- We care about science and engineering in PHM and all the information here is towards that
 - Cannot advise you on how to architect your company or individual solution in this tutorial (Software Engineering side)
 - But we can certainly discuss offline or at our discretion if it enriches the tutorial experience

Google Cloud Walkthrough

Sources of Information:

- <https://cloud.google.com/>
- Console: <https://console.cloud.google.com>
- Docs: <https://cloud.google.com/docs/>

Everything You Need To Build And Scale



Compute

From virtual machines with proven price/performance advantages to a fully managed app development platform.

Compute Engine

App Engine

Container Engine

Container Registry

Cloud Functions



Storage and Databases

Scalable, resilient, high performance object storage and databases for your applications.

Cloud Storage

Cloud Bigtable

Cloud Datastore

Cloud SQL

Cloud Spanner



Networking

State-of-the-art software-defined networking products on Google's private fiber network.

Cloud Virtual Network

Cloud Load Balancing

Cloud CDN

Cloud Interconnect

Cloud DNS



Management Tools

Monitoring, logging, and diagnostics and more, all a easy to use web management console or mobile app.

Stackdriver Overview

Monitoring

Logging

Error Reporting

Debugger

Deployment Manager & More



Big Data

Fully managed data warehousing, batch and stream processing, data exploration, Hadoop/Spark, and reliable messaging.

BigQuery

Cloud Dataflow

Cloud Dataproc

Cloud Dataprep

Cloud Datalab

Cloud Pub/Sub

Genomics



Machine Learning

Fast, scalable, easy to use ML services. Use our pre-trained models or train custom models on your data.

Cloud Machine Learning Platform

Vision API

Video Intelligence API

Speech API

Translate API

NLP API



Developer Tools

Develop and deploy your applications using our command-line interface and other developer tools.

Cloud SDK

Deployment Manager

Cloud Source Repositories

Cloud Endpoints

Cloud Tools for Android Studio

Cloud Tools for IntelliJ

Google Plugin for Eclipse

Cloud Test Lab

Cloud Container Builder



Identity & Security

Control access and visibility to resources running on a platform protected by Google's security model.

Cloud IAM

Cloud IAP

Cloud KMS

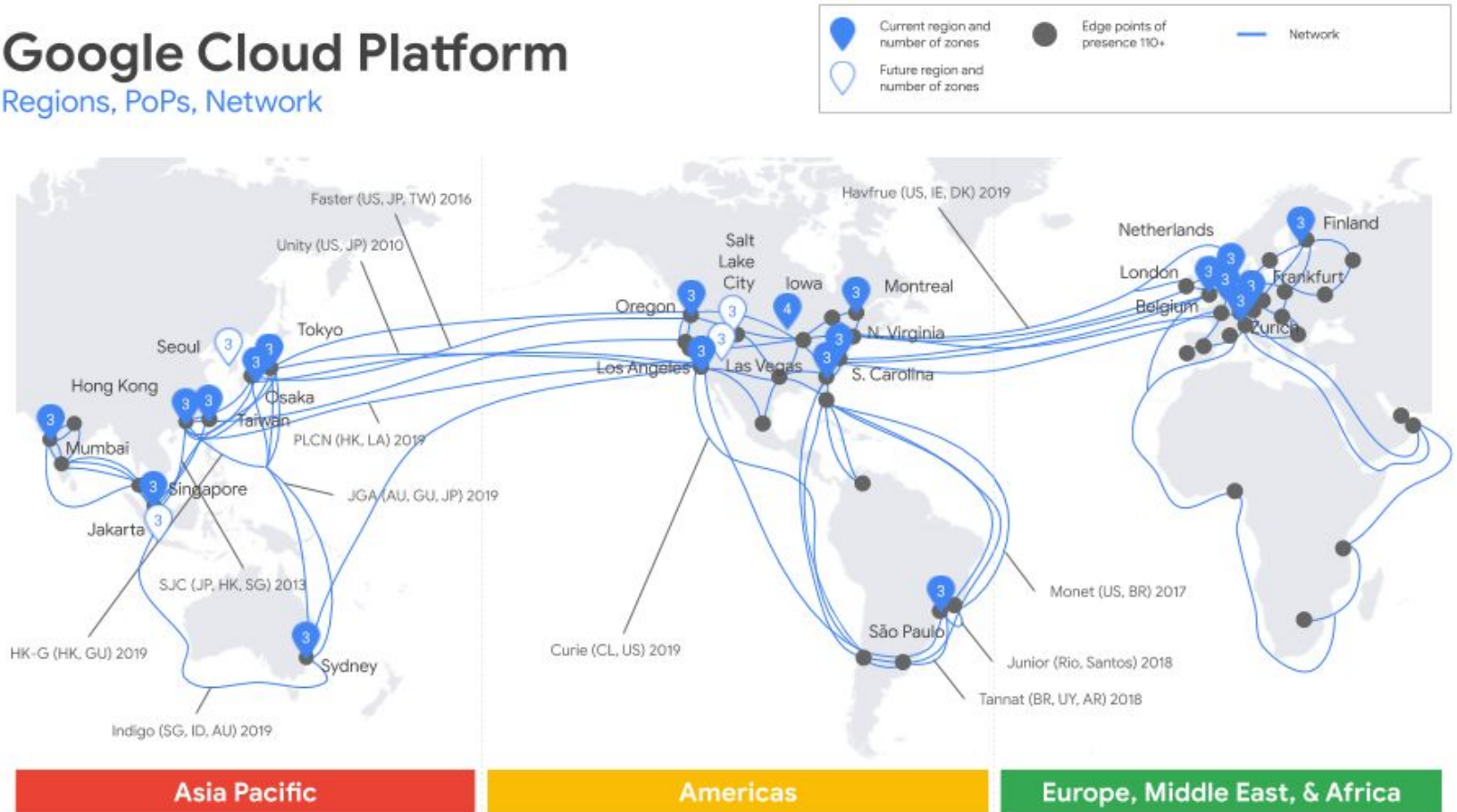
Cloud Resource Manager

Cloud Security Scanner

Cloud Platform Security Overview

Google Cloud Platform

Regions, PoPs, Network



How to unlock the value of data



Range of fully managed databases

Cloud SQL

Fully managed
MySQL,
PostgreSQL

Coming soon with
Microsoft SQL

Datastore

NoSQL **document database** for mobile & web apps

Bigtable

Wide-column database with HBase API

Spanner

Mission-critical relational database with **transactional consistency**, global scale, and high availability



A comprehensive platform

Ingestion



Cloud Pub/Sub



Data Transfer Service



Cloud IoT Core



Storage Transfer Service

Pipeline



Cloud Dataflow



Cloud Dataproc



Cloud Dataprep



Apache Beam

Warehousing



BigQuery



Cloud Storage

Analytics



Cloud AI Services



Google Data Studio



Tensorflow



Sheets

Tools



Data Fusion



Data Catalog



Cloud Composer



Google BigQuery

Google Cloud Platform's **enterprise data warehouse** for analytics

Exabyte-scale storage and petabyte-scale SQL queries

Encrypted, durable, and highly available



Fully managed and **serverless**

Unique

Real-time analytics on streaming data

Unique

Built-in **ML and GIS**

Unique

High-speed, **in-memory BI Engine**

Unique

Cloud AI Solutions

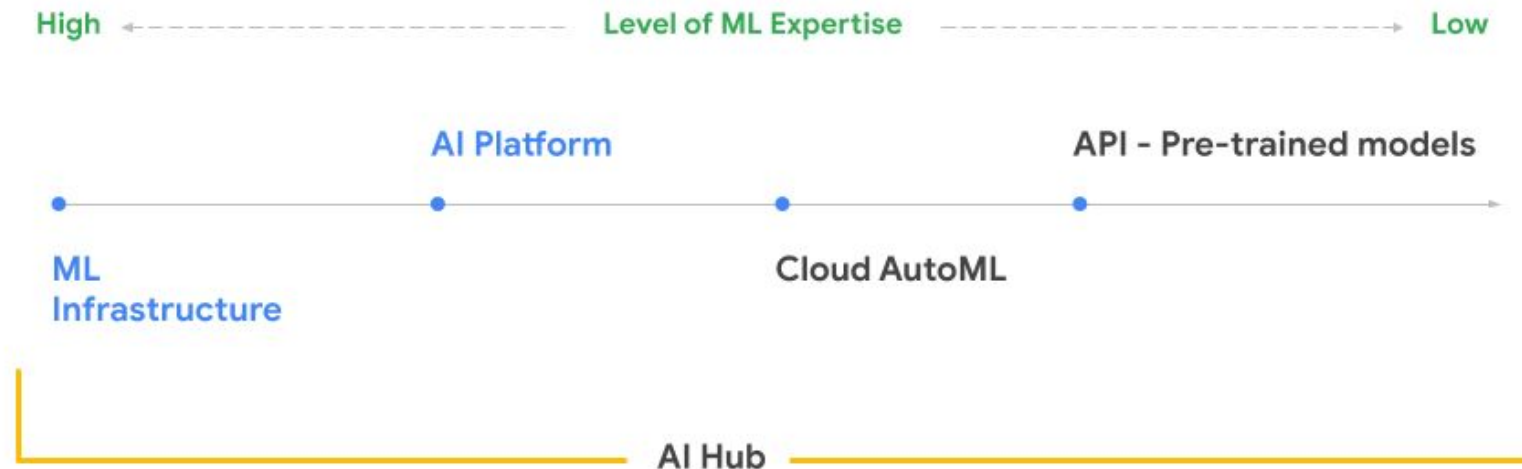
Ready-to-deploy AI solutions to
plug into your existing technology
and workflows

Cloud AI Builder Tools

Tools, services, and APIs that make
it easy for developers to build
AI-enabled systems



Cloud AI builder tools



**Powered by
Open source**



Cloud TPUs

Hardware acceleration for AI

Cloud TPU v3

Now generally available (GA)

Cloud TPU v2 Pod

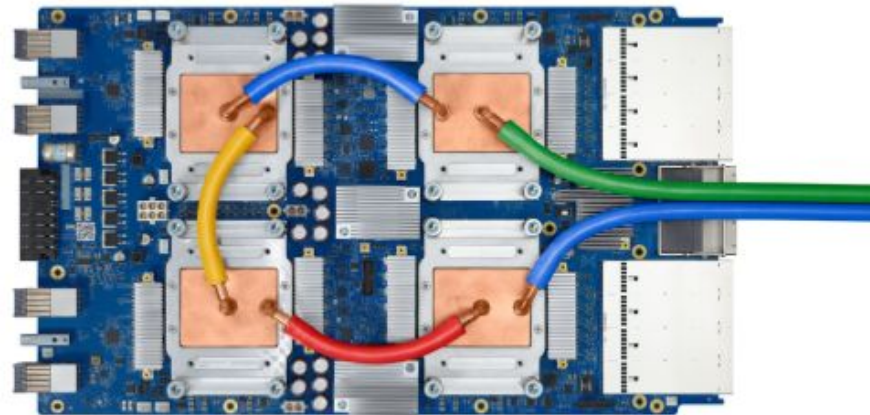
100 petaflops, now in early Alpha

Most Accessible Scale for ML

27X faster and 38% cheaper as measured by MLPerf benchmark

Growing Software Ecosystem

PyTorch, TF 2.0, Kubernetes Engine, Deep Learning VMs, reference models, and more



AutoML and APIs

Making ML accessible to all developers

Sight

-  Cloud Vision
-  Cloud Video Intelligence
-  AutoML Vision
-  AutoML Video Intelligence 

Language

-  Cloud Translation
-  Cloud Natural Language
-  AutoML Translation
-  AutoML Natural Language

Conversation

-  Dialogflow Enterprise Edition
-  Cloud Text-to-Speech
-  Cloud Speech-to-Text

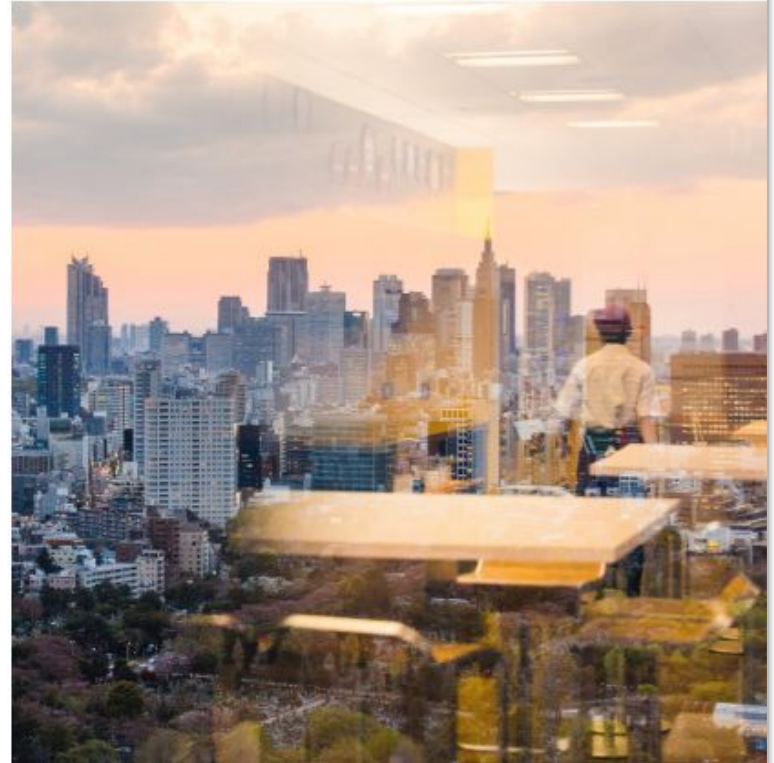
Structured Data

-  AutoML Tables 
-  Recommendation AI 



What makes Google Cloud different

- Best-in-class Security ➤ Protect systems, data, and users
- Hybrid & Multi-Cloud ➤ Enables choice
- Fully Managed No Ops ➤ Ease of use with serverless
- Embedded AI & ML ➤ Intelligence in everything
- Best of Google ➤ Bringing culture of innovation to customers and partners



Physics-Based PHM in the Cloud: An Example

Facts About Our Tutorial

Our intention is NOT to give you code that you can copy and paste and then run your own cloud PHM solution

Our intention is NOT to make you an expert on cloud development

Our intention is to start opening the eyes to this community, that cloud is real, it is here to stay and more importantly is not only the way of the future, it is the “now”.

- If you are student, this is what you want to learn
- If you are a mid-career person, and you want to expand your expertise, this is what you would like to do
- Project manager - the same

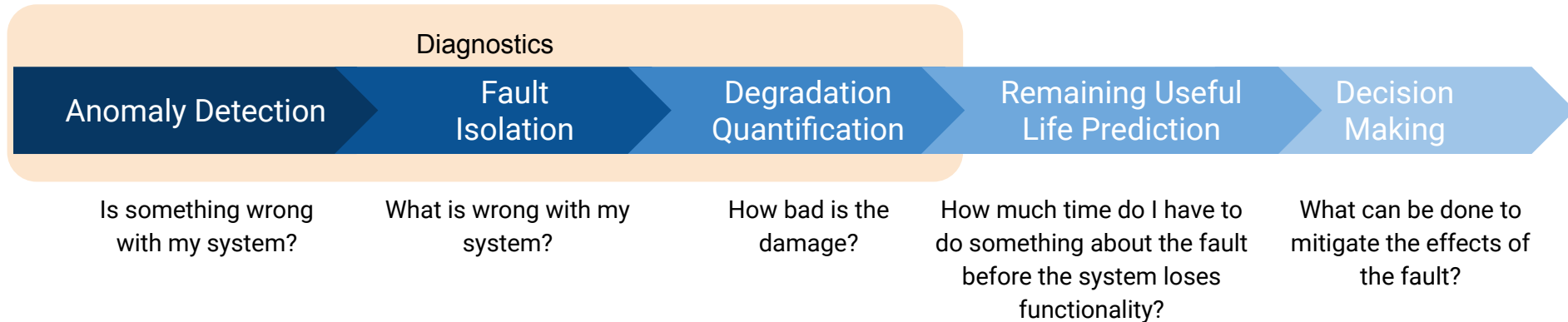
Designing/Transitioning Custom PHM Applications in the Cloud

- Design the application as a collection of cloud services, or APIs
 - Expose underlying functions as services that can be leveraged independently
 - Combine services into composite services or applications
 - These services are “stateless”
 - Read in and return information in JSON format
- Decouple the data from the application
 - Can store and process data on any public or private cloud instance
 - Helps with performance, as database reads/writes have latency
- Consider communications between application components
 - Optimize communications between application components as communication over internet introduces latency
 - E.g., combine communications into a single stream of data or a group of messages, rather than constantly communicating as if the application components reside on a single platform
- Model and design for performance and scaling
 - In some instances, cloud services provide auto scaling capabilities
 - Orchestration platforms such as Kubernetes can help with this as well
 - Automatic deployment, scaling, and management of containerized applications

Ref: <https://techbeacon.com/enterprise-it/5-steps-building-cloud-ready-application-architecture>

PHM Basics

- PHM consists of 5 steps:



- Data-driven and physics-based approaches** can be used to answer each of the 5 questions

State-of-the-art in PHM (Notional)

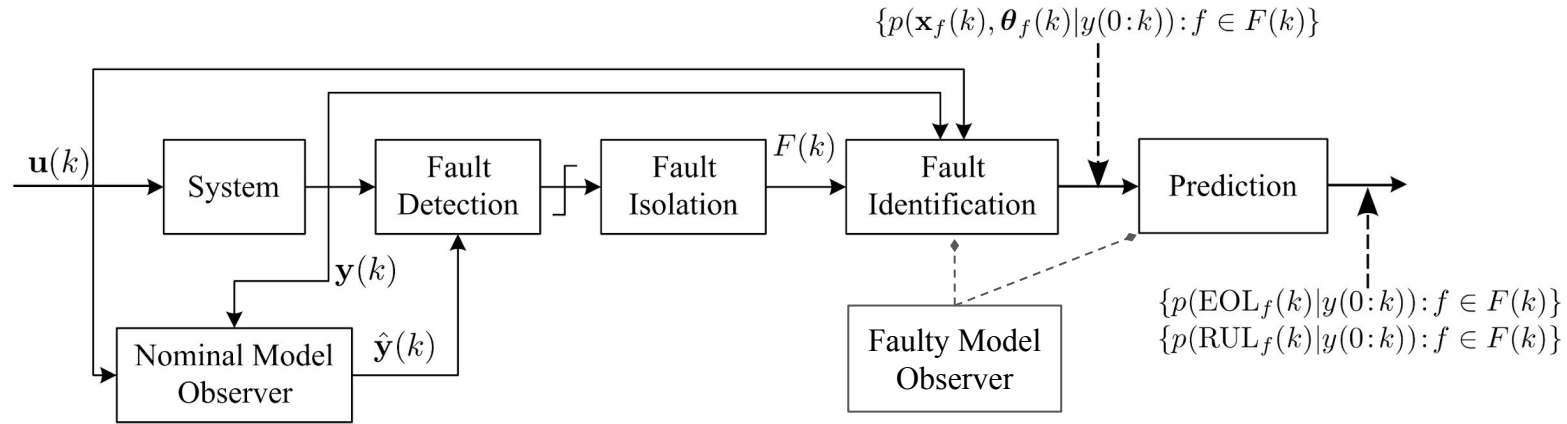
Physics-based Approaches	Anomaly Detection (e.g., Z-test)	Fault Isolation (e.g., filtering or state-estimation + search)	Degradation Quantification (e.g., filtering or state-estimation)	RUL Prediction (e.g., simulation, open-loop filtering - only predict, no update)	Decision Making (e.g., Partially observable Markov Decision Process)
Data Requirement					
Algorithm Complexity					
Maturity					
Data-driven Approaches	Anomaly Detection (e.g., single-class classifiers)	Fault Isolation (e.g., multi-class classification)	Degradation Quantification (e.g., regression, object detection)	RUL Prediction (e.g., future predictions, CNNs)	Decision Making (e.g., Reinforcement Learning)

Case Study — A 3-Pump IIoT Testbed

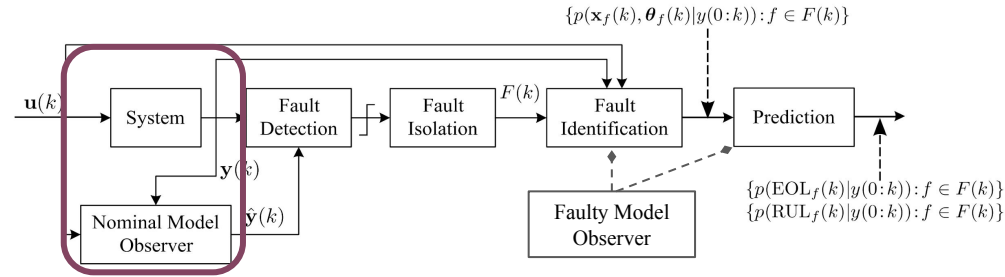
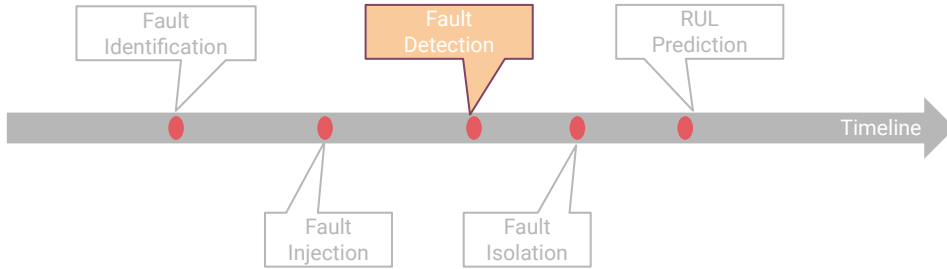
- The System
 - 3 DC Motor Pumps
 - 3 Flow meters
- Inputs:
 - Controlled Pump Speed for each pump
- Outputs:
 - Flow rate out of each pump
- Faults:
 - Loss of efficiency in each of the three pumps
 - Faults are single and persistent
- End-of-life condition
 - When the output flow of any pump dips below 0.15 units



Our Physics-Based PHM Architecture



Residual Generation for Fault Detection



- Observer based on nominal model estimates nominal behavior as a reference
 - E.g., Kalman filter, particle filter
 - Uses state-space model of nominal system
 - Predict and update steps

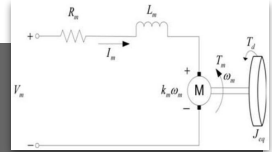
Nominal System

```
# State transition model
def state_transition_nominal(x, dt, params, inputs):
    dt = dt * 1.15e-2
    i1, w1, i2, w2, i3, w3 = x
    L1, R1, k1, J1, B1, L2, R2, k2, J2, B2, L3, R3, k3, J3, B3 = params
    Vs1, Vs2, Vs3 = inputs

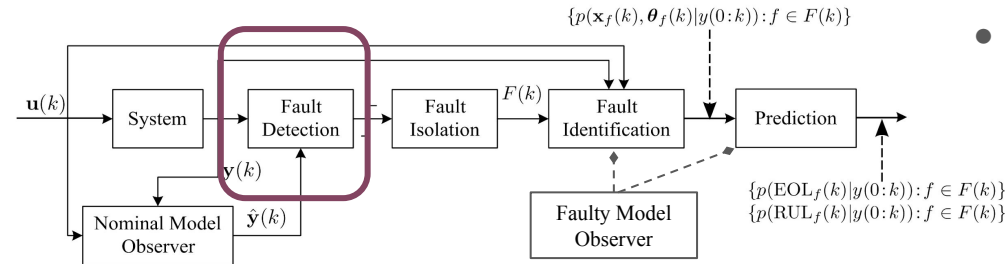
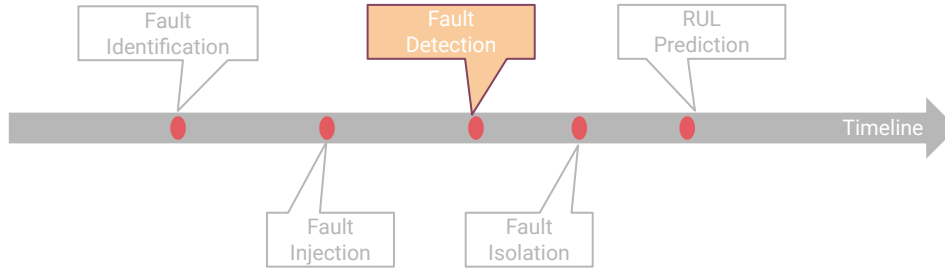
    di1 = (1/L1) * (Vs1 - R1 * i1 - k1 * w1) * dt + i1
    dw1 = (1/J1) * (k1 * i1 - B1 * w1) * dt + w1
    di2 = (1/L2) * (Vs2 - R2 * i2 - k2 * w2) * dt + i2
    dw2 = (1/J2) * (k2 * i2 - B2 * w2) * dt + w2
    di3 = (1/L3) * (Vs3 - R3 * i3 - k3 * w3) * dt + i3
    dw3 = (1/J3) * (k3 * i3 - B3 * w3) * dt + w3

    return [di1, dw1, di2, dw2, di3, dw3]

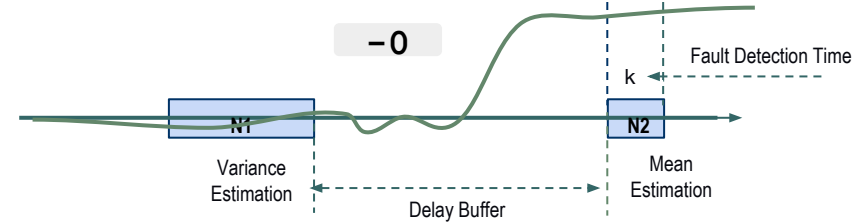
# Observation model
def observation_model_nominal(x, dt, params, inputs):
    return [x[1], x[3], x[5]]
```



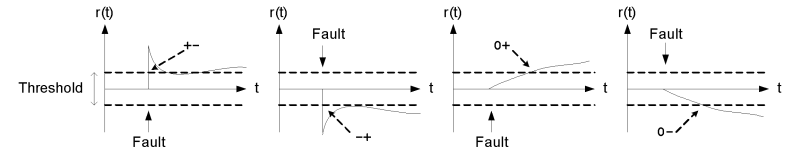
Fault Detection and Symbol Generation



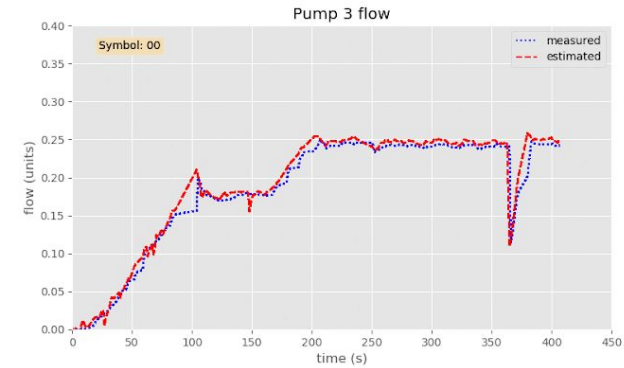
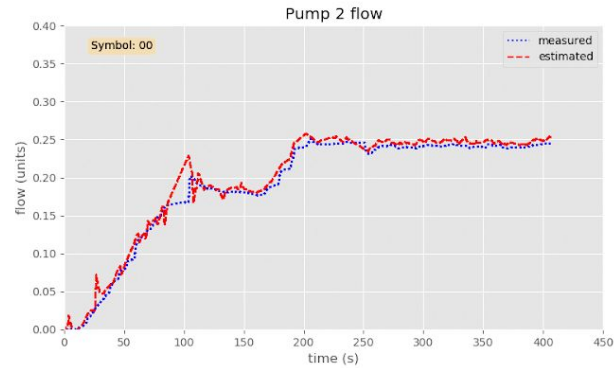
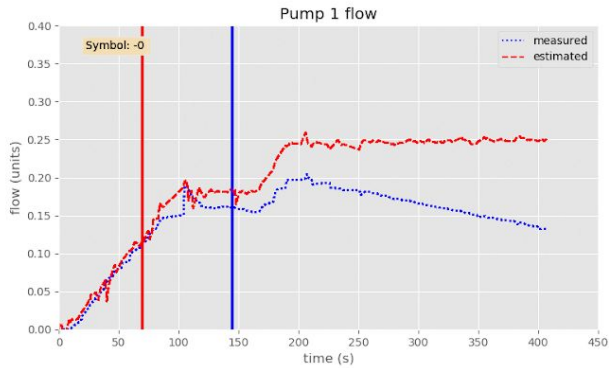
- Residual = observed sensor value - estimated sensor values
 - Nominally residual is approximately zero
- Fault detected when residual deviation from zero to statistically significant
- Usually there is a delay between fault occurrence and fault detection
 - This delay is typically not avoidable



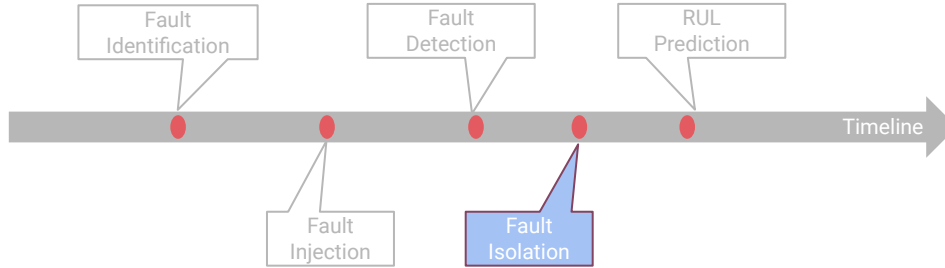
- Once fault detected, measurements $\Rightarrow$ symbols
 - 0 (at nominal), + (above nominal), - (below nominal)



Fault Detection and Symbol Generation — 3-Pump IloT Testbed



Fault Isolation - Matching Residual Symbols with Fault Signatures

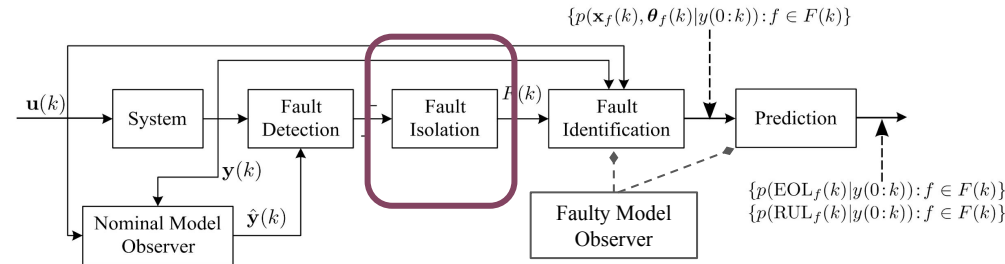


- Fault signatures are **qualitative** predictions of how residuals will change in response to a fault
 - SMEs can help generate fault signatures
 - Information also captured in nominal system model
 - Can be generated using simulation

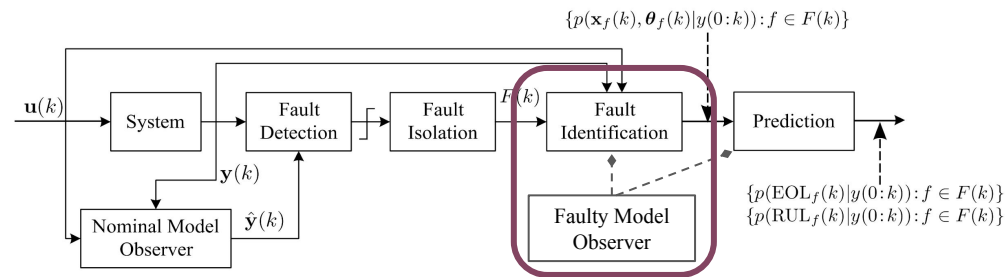
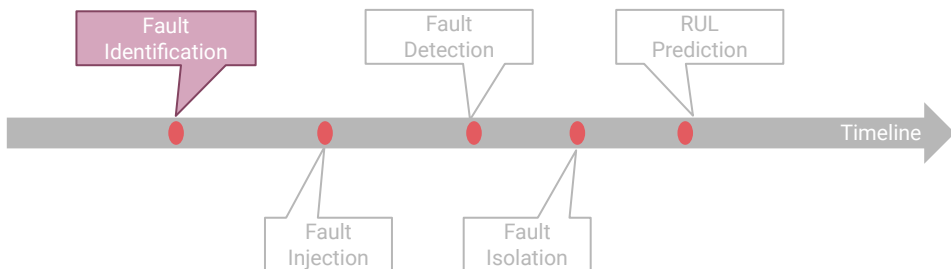
Fault \ Measurement	Pump 1 Flow	Pump 2 Flow	Pump 3 Flow
Pump 1 Degrading	-0	00	00
Pump 2 Degrading	00	-0	00
Pump 3 Degrading	00	00	-0

Fault Signature Matrix

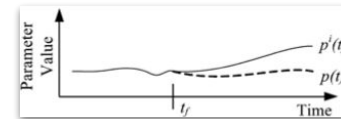
Pump 1 Flow Symbol: -0



Degradation Modeling and Fault Identification



- Unexpected change in system components
 - Modeled as parameter changes
 - E.g., $dk1/dt = 0$, when nominal
 $= \Delta k1$, otherwise
- Faults assumed to be
 - Single faults
 - Incipient and persistent

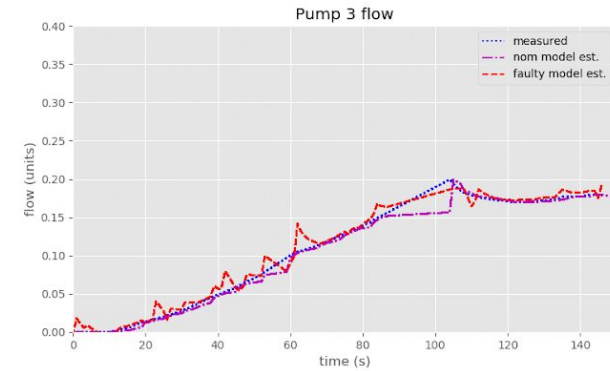
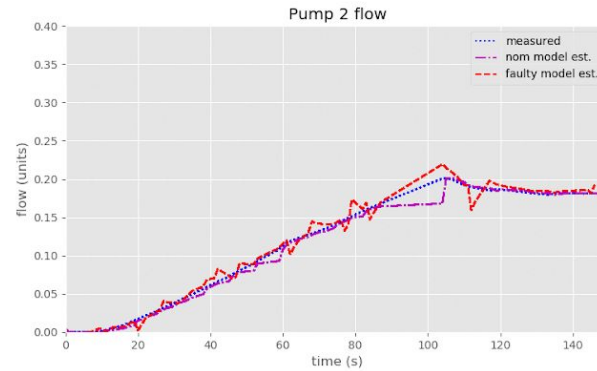
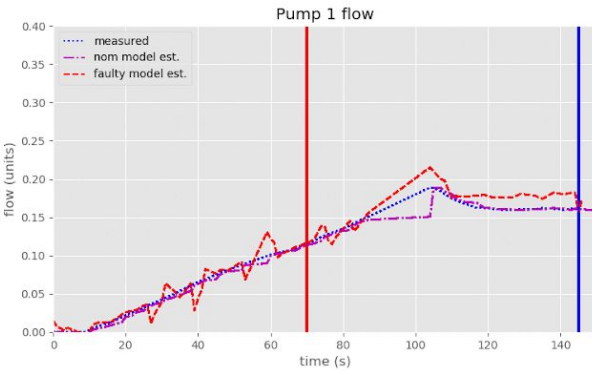


Degraded System - Pump 1 Faulty

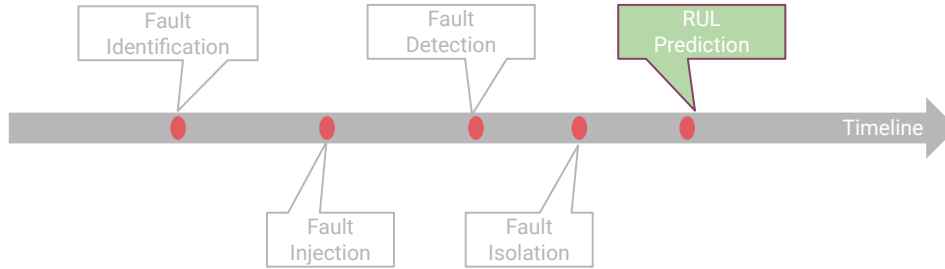
```
# State transition model with faulty param included as additional
state
# variable
def state_transition_k1_fault(x, dt, params, inputs):
    dt = dt * 1.15e-2
    i1, w1, i2, w2, i3, w3, k1 = x
    L1, R1, J1, B1, L2, R2, k2, J2, B2, L3, R3, k3, J3, B3 = params
    Vs1, Vs2, Vs3 = inputs
    di1 = (1/L1) * (Vs1 - R1 * i1 - k1 * w1) * dt + i1
    dw1 = (1/J1) * (k1 * i1 - B1 * w1) * dt + w1
    di2 = (1/L2) * (Vs2 - R2 * i2 - k2 * w2) * dt + i2
    dw2 = (1/J2) * (k2 * i2 - B2 * w2) * dt + w2
    di3 = (1/L3) * (Vs3 - R3 * i3 - k3 * w3) * dt + i3
    dw3 = (1/J3) * (k3 * i3 - B3 * w3) * dt + w3
    dk1 = 0 * dt + k1
    return [di1, dw1, di2, dw2, di3, dw3, dk1]

# Observation model
def observation_model_k1_fault(x, dt, params, inputs):
    return [x[1], x[3], x[5]]
```

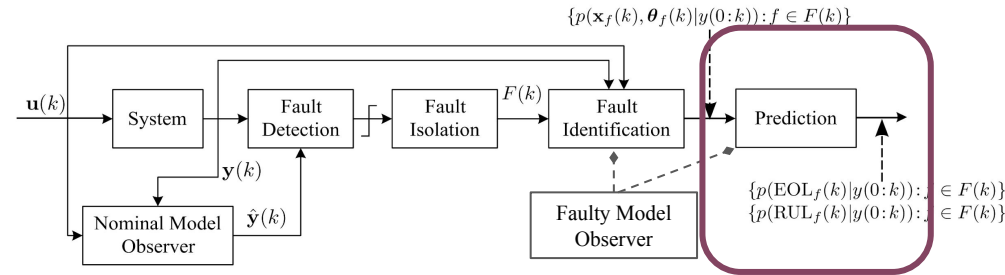
Degradation Modeling and Fault Identification — 3-Pump IIoT Testbed



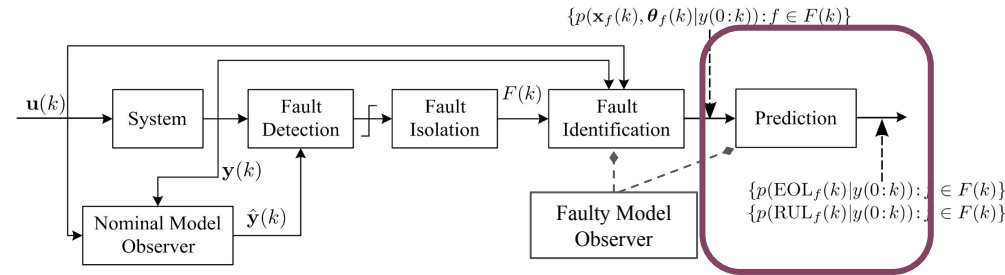
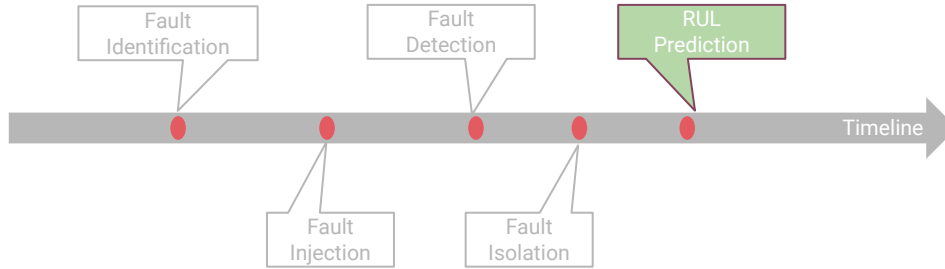
Remaining Useful Life Prediction - Some Basics



- We are specifically interested in predicting failure states
 - EOL = end of life (time to failure)
 - RUL = remaining useful life (time until failure)
- Define a threshold function that partitions state-space into non-failure and failure-states
 - $T_f: \mathbb{R}^{n_x} \rightarrow \{\text{true}, \text{false}\}$
 - That is, $T_f(x(k))$ returns true when it is a failure state, false otherwise



Remaining Useful Life Prediction



- Prediction involves simulating degraded system model with hypothesized or known **future** inputs
- Sample from the state and parameter and simulate each sample forward till RUL criteria is fulfilled
- Initial conditions are very important
- Weighted mean of EOLs give mean EOL

Algorithm 1 EOL Prediction

Inputs: $\{(\mathbf{x}_f^i(k_P), \theta_f^i(k_P)), w^i(k_P)\}_{i=1}^N$

Outputs: $\{EOL_f^i(k_P), w^i(k_P)\}_{i=1}^N$

for $i = 1$ **to** N **do**

$k \leftarrow t_P$

$\mathbf{x}_f^i(k) \leftarrow \mathbf{x}_f^i(k_P)$

$\theta_f^i(k) \leftarrow \theta_f^i(k_P)$

while $T_{EOL_f}(\mathbf{x}_f^i(k), \theta_f^i(k)) = 0$ **do**

 Predict $\hat{\mathbf{u}}(k)$ Hypothesized inputs

$\theta_f^i(k+1) \sim p(\theta_f(k+1)|\theta_f^i(k))$

$\mathbf{x}_f^i(k+1) \sim p(\mathbf{x}_f(k+1)|\mathbf{x}_f^i(k), \theta_f^i(k), \hat{\mathbf{u}}(k))$

$k \leftarrow k+1$

$\mathbf{x}_f^i(k) \leftarrow \mathbf{x}_f^i(k+1)$

$\theta_f^i(k) \leftarrow \theta_f^i(k+1)$

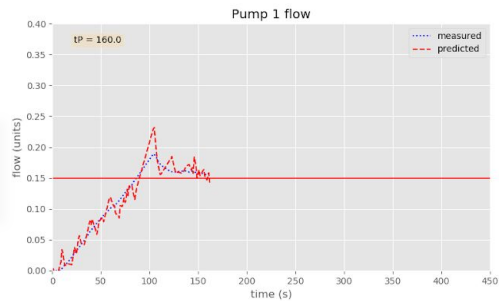
end while

$EOL_f^i(k_P) \leftarrow k$

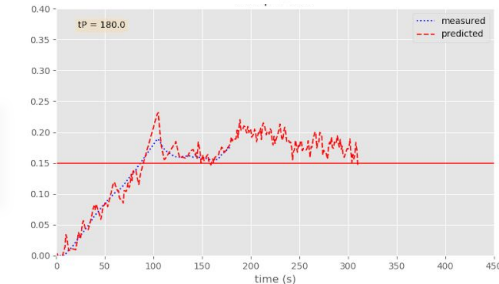
end for

RUL Prediction — 3-Pump IoT Testbed

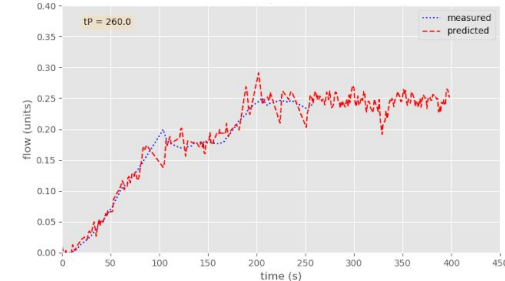
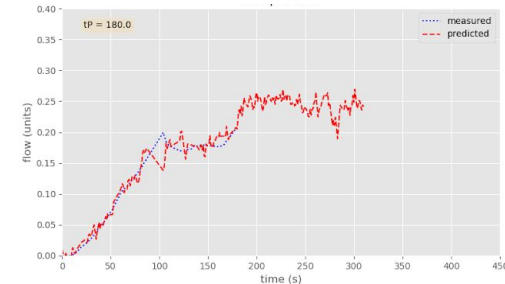
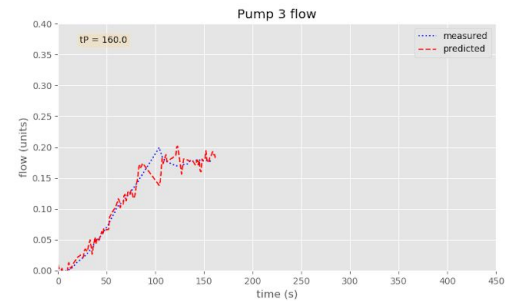
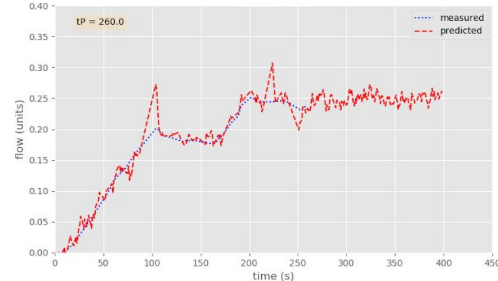
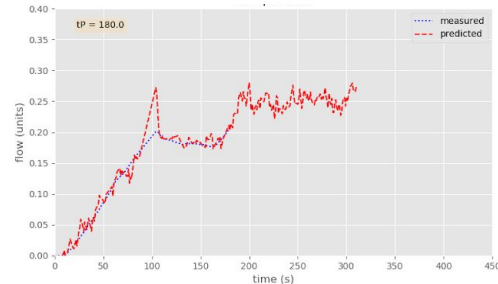
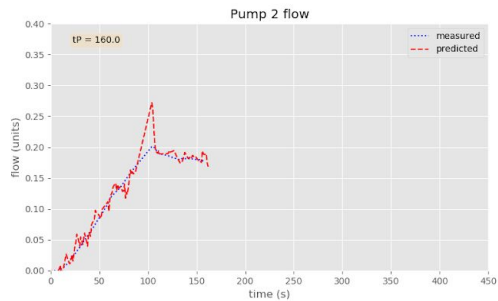
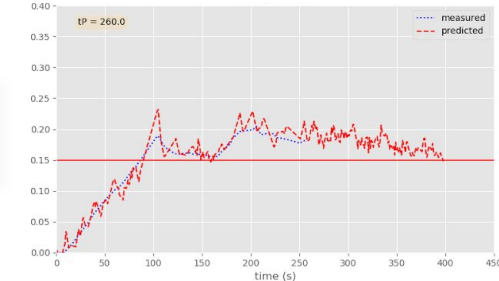
tP = 160 s
EOL = 162 s
RUL = 2 s



tP = 180 s
EOL = 537 s
RUL = 357 s

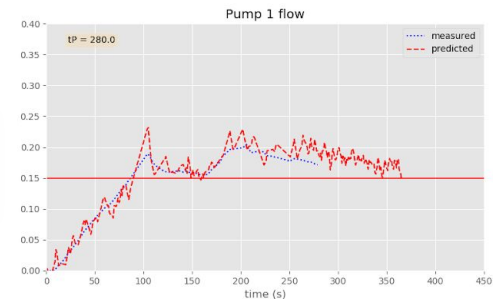


tP = 260 s
EOL = 545 s
RUL = 285 s

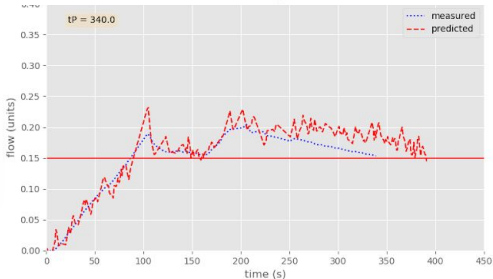


RUL Prediction — 3-Pump IoT Testbed

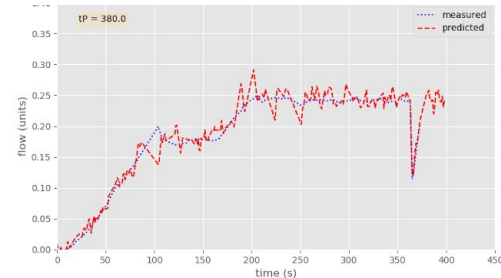
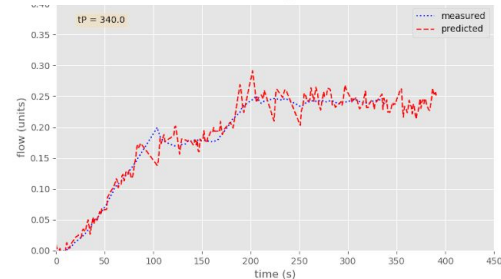
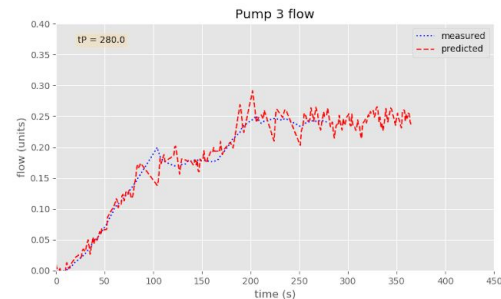
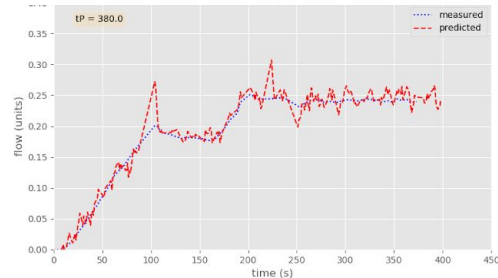
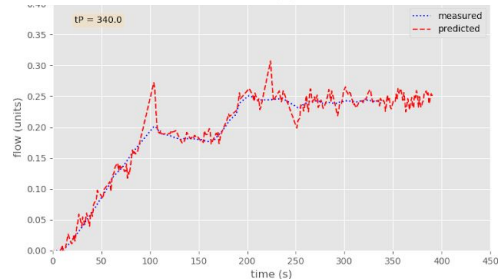
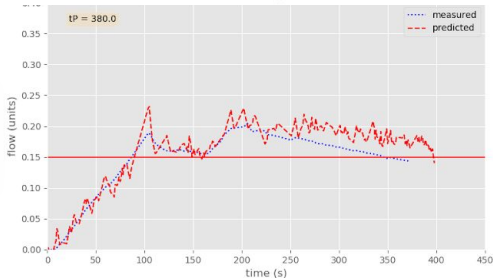
tP = 280 s
EOL = 492 s
RUL = 212 s



tP = 340 s
EOL = 458 s
RUL = 118 s

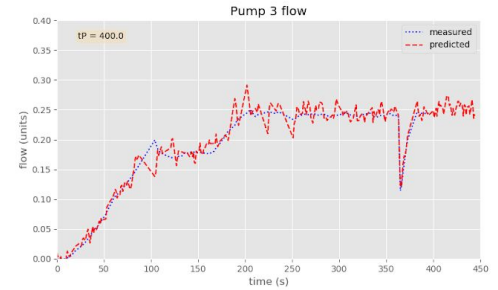
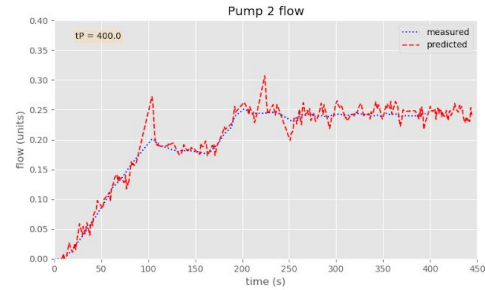
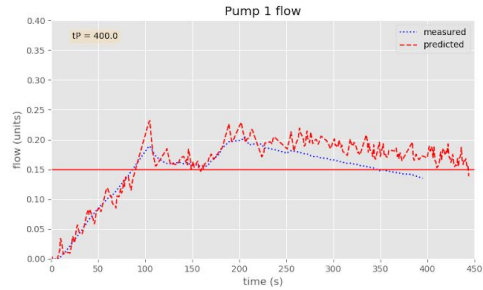


tP = 380 s
EOL = 425 s
RUL = 45 s



RUL Prediction — 3-Pump IIoT Testbed

tP = 400 s
EOL = 451 s
RUL = 51 s



Wrapping Up...

Closing Remarks

- What is Cloud
- Benefits of Cloud
- How Cloud can help PHM
- What is available in GCP
- Custom algorithms in GCP
- Example of a PHM Solution on the Cloud

PHM in the Cloud Status (Notional)

Physics-based Approaches	Anomaly Detection (e.g., Z-test)	Fault Isolation (e.g., filtering or state-estimation + search)	Degradation Quantification (e.g., filtering or state-estimation)	RUL Prediction (e.g., simulation, open-loop filtering - only predict, no update)	Decision Making (e.g., Partially observable Markov Decision Process)
Data Requirement					
Algorithm Complexity					
Maturity					
Data-driven Approaches	Anomaly Detection (e.g., single-class classifiers)	Fault Isolation (e.g., multi-class classification)	Degradation Quantification (e.g., regression, object detection)	RUL Prediction (e.g., future predictions, CNNs)	Decision Making (e.g., Reinforcement Learning)

Acknowledgements

- STIC Team
 - Prasad Bhagwat, Machine Learning Software Engineer
 - Bernard Van Haecke, IIoT Lead Technologist
 - Andrey Konchenko, E&P Production Digital Platform Subject Matter Expert
- Google Cloud Platform Team
 - Ricardo Payan
 - Ferris Argyle

Questions?